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PTO-SIS-21 (08-00)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission **91**Application Number **09/869,183**International Filing Date **December 15, 1999**First Named Inventor **Andrea Born**Group Art Unit **Not yet assigned**Examiner Name **Not yet assigned**Attorney Docket Number **WSP:199 US**

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____

Remarks

☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please
identify below):**PTOL-85b Base Issue Fee
Transmittal Form**

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name**Michael L. Dunn**

Signature

Michael L. Dunn

Date

Sept 4, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Sept 4, 2001

Typed or printed name

Michael L. Dunn

Signature

Michael L. Dunn

Date

Sept 4, 2001

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FEES TRANSMITTAL for FY 2001 <small>Patent fees are subject to annual revision</small>		Complete if Known																																																																																																																																																													
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1. [] The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> 04-1790 </div> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> </div> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16, 1.17, 1.18 and 1.20 </div> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.22 </div>		Group Art Unit Not yet assigned	Attorney Docket No. WSP:199																																																																																																																																																												
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6. SUBMITTED BY <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">Name (Print Type) Michael L. Dunn</td> <td style="width: 33%;">Registration No. 25,330 (Attorney Agent)</td> <td style="width: 33%;">Telephone 716-433-1661</td> </tr> <tr> <td>Signature <i>Michael L. Dunn</i></td> <td>Date <i>5.15.01</i></td> <td></td> </tr> </table>		Name (Print Type) Michael L. Dunn	Registration No. 25,330 (Attorney Agent)	Telephone 716-433-1661	Signature <i>Michael L. Dunn</i>	Date <i>5.15.01</i>		7. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231																																																																																																																																																							
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#71
5/27/01

Applicants: Andrea Born, et al.

Art Unit: Not yet assigned

Serial No: 09/869,183

International

Filing Date: December 15, 1999

I certify that this **Information Disclosure Statement** is being deposited on **September 5, 2001** with the U.S. Postal Service as first

class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Examiner: Not yet assigned

For: FORMING OR COATING
MATERIAL AND
UTILIZATION THEREOF


Michael L. Dunn

Registration No. 25,330

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following literature citations are being provided in compliance with Applicant's and his attorneys' duty of candor and good faith under 37 C.F.R. 1.56, 1.97 and 1.98. The citations are recorded on the accompanying Form PTO-1449. It is requested that the attached citations be entered and considered during further examination of this application. Copies of the references listed below are included herein. The relevance of each publication is as follows:

European Application 0 506 470 relates to resins carrying dual metals for aquatic organism blocking.

European Application 0 696 627 relates to a hydrolyzate of a perfluoro alkyl group-containing organic silicon compound with a hydrolyzable methyl polysiloxane compound with organopolysiloxane and acid for water repellancy.

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C-170

German Patent 30 19 828 relates to a self cleaning laminar material made using an oxidation catalyst.

German Patent 40 40 128 relates to a fluoride containing enamel binding material.

Dated: September 5, 2001

Respectfully submitted,



Michael L. Dunn
Attorney for Applicant(s)
Reg. No. 25,330
P.O. Box 10
Newfane, New York 14108
Telephone: (716) 433-1661

MLD/cah
Enclosures
cc: Weber, et al.

